

09-1859-cv
Kaytor v. Electric
Boat Corp.

1 UNITED STATES COURT OF APPEALS

2 FOR THE SECOND CIRCUIT

3 - - - - -

4 August Term, 2009

5 (Argued: January 13, 2010 Decided: June 29, 2010)

6 Docket No. 09-1859-cv

7
8 SHARON KAYTOR,

9 Plaintiff-Appellant,

10 - v. -

11 ELECTRIC BOAT CORPORATION,

12 Defendant-Appellee.
13

14 Before: KEARSE, CABRANES, and HALL, Circuit Judges.

15 Appeal from a judgment of the United States District
16 Court for the District of Connecticut, Dominic J. Squatrito,
17 Judge, summarily dismissing claims of hostile work environment,
18 retaliation, and intentional infliction of emotional distress for
19 lack of evidence as to, inter alia, harassment on the basis of
20 gender, sufficiently severe or pervasive harassment, or outrageous
21 conduct. See Kaytor v. Electric Boat Corp., No. 3:06CV01953, 2009
22 WL 840669 (D. Conn. Mar. 31, 2009).

23 Affirmed in part, vacated and remanded in part.

24 CYNTHIA R. JENNINGS, Windsor, Connecticut, for
25 Plaintiff-Appellant.

1 MICHAEL CLARKSON, Boston, Massachusetts (Robert
2 P. Joy, Morgan, Brown & Joy, Boston,
3 Massachusetts, on the brief), for
4 Defendant-Appellee.

5 GAIL S. COLEMAN, Washington, D.C. (James L. Lee,
6 Deputy General Counsel, Lorraine C. Davis,
7 Acting Associate General Counsel, Carolyn
8 L. Wheeler, Assistant General Counsel,
9 United States Equal Employment Opportunity
10 Commission, Washington, D.C., on the
11 brief), for Amicus Curiae United States
12 Equal Employment Opportunity Commission in
13 support of Appellant.

14 KEARSE, Circuit Judge:

15 Plaintiff Sharon Kaytor appeals from a judgment of the
16 United States District Court for the District of Connecticut,
17 Dominic J. Squatrito, Judge, dismissing her complaint alleging
18 principally that defendant Electric Boat Corp. ("Electric Boat" or
19 the "Company"), her former employer, discriminated against her on
20 the basis of gender by maintaining a hostile work environment and
21 retaliated against her for complaining about sexual harassment by
22 her supervisor, in violation of Title VII of the Civil Rights Act
23 of 1964, 42 U.S.C. § 2000e et seq. ("Title VII"), and state law.
24 The district court granted summary judgment dismissing the
25 complaint, concluding, inter alia, that Kaytor failed to adduce
26 evidence that would permit inferences that the incidents of which
27 she complained were sufficiently pervasive or severe to create a
28 hostile work environment, were gender-related, or were
29 retaliation for her protests against sexual harassment. On
30 appeal, Kaytor contends that summary judgment was inappropriate
31 because there were genuine issues of material fact to be tried as

1 to each of her claims. For the reasons that follow, we agree that
2 summary judgment was inappropriate with respect to Kaytor's claims
3 of hostile work environment and with respect to one aspect of her
4 claims of retaliation, and we remand for further proceedings with
5 respect to those claims. As to Kaytor's other claims, we affirm
6 the judgment of dismissal.

7 I. BACKGROUND

8 Electric Boat designs and builds nuclear submarines for
9 the United States Navy in Groton, Connecticut. Kaytor worked at
10 Electric Boat from 1973 until her employment was terminated by the
11 Company in January 2007. Her claims of gender discrimination
12 center on her treatment by Daniel J. McCarthy, one of the managers
13 in the engineering department, from 2004 through April 2005. The
14 following description, taken largely from Kaytor's deposition
15 testimony in the present action ("Kaytor Dep.") and from, to an
16 extent, Electric Boat's Investigative Report dated July 21, 2005
17 ("EB Report" or "Report"), with respect to Kaytor's complaints
18 about McCarthy, sets out the evidence in the light most favorable
19 to Kaytor as the party against whom summary judgment was granted.

20 A. The Events Involving McCarthy

21 From 1998 until late January 2007 Kaytor was an
22 administrative assistant in Electric Boat's engineering
23 department, and from 1998 until mid-May 2005 she was secretary to

1 McCarthy. His branch of the department included several dozen
2 engineers, whose work was overseen by six supervisors who reported
3 to him. Kaytor testified that her job with McCarthy included
4 ordering supplies for the entire engineering department, which
5 included 400-500 engineers. (See Kaytor Dep. 17.) This entailed
6 some degree of discretion. McCarthy would give her a budget and
7 have her determine, based on her knowledge and experience, what
8 should be ordered; Kaytor would send McCarthy information as to
9 whatever she was ordering, and he would routinely approve. (See
10 id. at 16-17.) Kaytor testified that during the first several
11 years in which she worked directly for McCarthy, their
12 relationship was suitably businesslike. (See id. at 176.)

13 In 2004 and 2005, however, McCarthy was "having problems,"
14 going through a divorce (id. at 183; see id. at 181, 242; EB
15 Report at 13), and seemed to undergo a change of character (see
16 Kaytor Dep. 181-83, 241). Although he never touched Kaytor in a
17 violent or sexual way, never asked her for sex, and never asked
18 her out on a date (see id. at 192), in 2004 McCarthy began making
19 inappropriate comments to her and engaging in sexually suggestive
20 behavior. Although he frequently made fun of women, especially of
21 their weight, and made comments about their bodies (see id.
22 at 226), McCarthy paid Kaytor compliments on her clothing (see id.
23 at 245) and told her she looked good for a woman her age (see EB
24 Report at 10). Some of his comments were not in and of themselves
25 offensive, but on many occasions Kaytor perceived McCarthy to be
26 staring at her body and leering at her (see Kaytor Dep. 245-46);

1 she testified that her reaction was to "ignore the look" (id.
2 at 246). On one occasion, McCarthy entered Kaytor's office and
3 complimented her on two scarves that were lying on her desk. He
4 then picked them up, "brought them to his nose and he sa[id],
5 'Umm, they smell like you.'" (Id. at 204.) McCarthy then
6 approached Kaytor more closely, apparently smelling her hair;
7 Kaytor became nervous, turned her back, and started typing until
8 McCarthy departed. (See id.)

9 Kaytor testified that she believes McCarthy "had designs"
10 on her and that "when things did not go his way, the relationship
11 became sour and he would make many off-colored comments to me,"
12 such as stating "you have a flat ass." (Kaytor Dep. 176; see also
13 id. at 255 ("I believe Mr. McCarthy was very bitter because I
14 would not associate with him outside the workplace or fall for his
15 advances.")) McCarthy's initial "flat ass" comment, which he
16 promptly repeated, came "out of the blue" while Kaytor was talking
17 to one of the supervisors: "All of a sudden out of the blue Mr.
18 McCarthy yells out at the top of his lungs and everybody could
19 hear, you have a flat ass." (Id. at 179-80.)

20 McCarthy also threatened Kaytor with physical harm (see
21 Kaytor Dep. 186-87) and often wished her dead, saying "I'd like to
22 see you in your coffin" (id. at 177). On at least six occasions,
23 McCarthy said he wanted to "choke" Kaytor. (E.g., id. at 186-87.)
24 Coworkers who overheard these comments would come up to Kaytor
25 and say, "'Do you realize what he's saying, Sharon? You should
26 start thinking about taking it more seriously.'" (Id. at 187.)

1 Kaytor testified that, instead, she "would brush it off time and
2 time again." (Id. at 186.) Kaytor did not complain to the
3 Company's human resources department ("HR") about the choking
4 comments but did complain to her union counselor, who told her to
5 "'cut [McCarthy] some slack'" because he was "going through a
6 divorce"; so she kept "brushing it off thinking he doesn't mean
7 it. . . . But the more he kept saying it, [she] became a little
8 bit nervous." (Id. at 191.) Kaytor testified that on one
9 occasion, McCarthy "called me into his office . . . and he said
10 out of the blue, 'I wish you were retired.' And I said, 'Why?'
11 And he said, 'So I could come to your home and choke you.'" (Id.
12 at 188.)

13 Kaytor also described an incident in which she had
14 informed McCarthy that she needed to leave the office at a certain
15 time for a doctor's appointment, and she and some of her female
16 coworkers had discussed that she was to have her annual checkup
17 with her gynecologist. McCarthy apparently overheard that
18 discussion; and as Kaytor was leaving, walking down the hallway,
19 McCarthy yelled, in the presence of several coworkers, "'You are
20 going where every man wants to be.'" (Kaytor Dep. 177, 206-07.)
21 On another occasion, McCarthy "stated that [Kaytor] was spreading
22 [her] legs for the doctor." (Id. at 177.)

23 Kaytor complained about some of these events to her union
24 representative; but until April 2005, she had not complained to
25 Electric Boat's higher management. In early 2005, Kaytor had told
26 McCarthy that if he did not cease his comments she was going to

1 report his remarks to a Company vice president; in response,
2 McCarthy got a "horrid look on his face and he said 'I'll kill
3 you.'" (Kaytor Dep. 241-42.) "[S]cared to death" by this
4 response, Kaytor "went back to [her] office" and said to herself,
5 "'Oh boy, I better never do that.'" (Id. at 242.)

6 The incident that finally led Kaytor to complain to
7 Electric Boat's higher management occurred in late April 2005, on
8 "Administrative Assistant's Day." It was a custom in the
9 Company's engineering department for supervisors to recognize that
10 day by giving their administrative assistants or secretaries
11 gifts. In the years prior to 2005, McCarthy had given Kaytor nice
12 flowers or gifts of \$100 or \$200. (See Kaytor Dep. 210, 221.) On
13 April 27, 2005, McCarthy gave Kaytor an unattractive potted bush
14 and a card that Kaytor believed were intended to be "derogatory
15 and sexual." (Id. at 225.) The handwritten message on the card
16 read, "I wish you the best and thank you for your help this past
17 year. The plant is/can be planted outside and I hope bring[s] you
18 pleasure in the years ahead." (Kaytor Dep. Exhibit 37 (emphases
19 in original).) Kaytor found the card offensive because of the
20 nature of the plant (see Kaytor Dep. 221): The plant was a
21 variety of Salix commonly known as a pussy willow.

22 B. The Company's Response to Kaytor's Protest

23 " [A]fter the pussy willow incident," Kaytor "couldn't take
24 any more." (Kaytor Dep. 185.) She complained to the Company's
25 ombudsperson; and, within a week or two of the incident (a delay

1 "because [she] was scared" (id. at 186)), she complained about
2 McCarthy to HR. The pussy willow bush was apparently the talk of
3 the office. Kaytor testified that an HR employee, Cheryl Stergio,
4 told her that "people were talking about it throughout the plant"
5 (id. at 280), and that Stergio said "coming in to work," and just
6 walking down the hall, "she could hear people talking about what
7 McCarthy did to [Kaytor], the pussy willow bush and the flat ass
8 comment" (id. at 280-81). Bryan Burdick, a staff engineer who had
9 been away when Kaytor received the plant, heard "talk on the
10 floor" about it "from about a half dozen people" when he
11 returned. (EB Report at 7.)

12 HR conducted an investigation of Kaytor's complaints,
13 interviewing Kaytor, McCarthy, Burdick, several of Kaytor's
14 coworkers, and Al Cogle, a supervisor who reported to McCarthy.
15 The EB Report described, inter alia, statements from coworker
16 Linda Christie who had heard McCarthy make the "flat ass" comment.
17 (EB Report at 4.) Christie said that McCarthy used crass language
18 with everyone, regardless of gender. She said that on one
19 occasion she had gone to McCarthy's office to ask "where Sharon
20 Kaytor was," and "McCarthy replied 'she's spreading her legs for
21 the doctor.'" (Id. at 5.) When asked about the pussy willow bush
22 incident, Christie said "she believed that McCarthy intended to
23 annoy Kaytor with the plant by its underlying sexual connotation.
24 She added, 'However, if anyone else got the same plant as a gift I
25 don't think that it would have had the same effect of underlying
26 sexual connotation.'" (Id. (emphasis in Report).) Another

1 coworker, Sheryl Williams, said she had seen the bush and found it
2 to be "a poor specimen"; in ordinary circumstances, she would have
3 considered it simply "a poor choice of a gift." (Id. at 7
4 (emphasis in Report).) However, Williams, said that Kaytor had
5 "relayed to [her] incidents where Dan had harassed [Kaytor]. If
6 those stories are true, [Williams] could see the plant having a
7 sexual connotation based on the name" (Id. (emphasis in
8 Report).)

9 McCarthy, in his HR interview, ascribed Kaytor's
10 accusations to her displeasure with him because she believed he
11 had not supported her in a workers compensation claim. (See EB
12 Report at 9.) He admitted making a "flat ass" comment in a
13 conversation with Kaytor in his office, but he stated that he had
14 simply been repeating a doctor's remark that Kaytor herself had
15 relayed to McCarthy several times (EB Report at 9-10)--remarks and
16 conversations that Kaytor "[a]bsolutely" denied had ever occurred
17 (Kaytor Dep. 182). McCarthy also stated that Kaytor had
18 initiated conversations with him about her breast size and sex
19 life (see EB Report at 11)--an assertion that Kaytor testified
20 was entirely "untrue" (Kaytor Dep. 283-84).

21 When "asked specific questions regarding the allegations
22 made by Kaytor" (EB Report at 9), McCarthy's responses were, inter
23 alia, that he "d[id] not recall" telling Kaytor he wanted to
24 choke her; that he "ha[d] no recollection" of saying he wanted
25 to see Kaytor in her coffin or that he wanted to come to her home
26 and choke her; that he had "no recollection" of picking up a scarf

1 from Kaytor's desk and smelling it; and that he had "no
2 recollection" of making a comment as to Kaytor's "spreading her
3 legs for the doctor." (Id. at 10 (emphases in Report).)

4 On May 16, 2005, the day after the HR investigation was
5 begun, Kaytor was transferred away from McCarthy; within an hour
6 of her first interview with HR, the Company packed up her
7 belongings and moved her to an office down the hall (see Kaytor
8 Dep. 289). She was reassigned to work for Croggle, a supervisor
9 with whom she had previously had a friendly relationship (see,
10 e.g., id. at 296, 300); Croggle was supervised by McCarthy. On the
11 day after that reassignment, HR offered Kaytor the opportunity to
12 be retransferred to her old job with McCarthy. (See id. at 290,
13 294). She considered it but eventually declined. (See id. at
14 294). She was not offered an opportunity to work in a different
15 Company building or to work for anyone who was not supervised by
16 the manager who had harassed her. (See id. at 288-89.)

17 Kaytor testified that although her compensation remained
18 the same after her transfer to Croggle, she was treated poorly.
19 She was placed in an office in which paint chips containing lead
20 were underfoot and regularly fell on her desk; and on a day when
21 Electric Boat announced a rule that anyone using certain internet
22 websites could be fired, the Company gave her a computer that was
23 loaded with prohibited programs that she could access accidentally
24 and be fired. (See id. at 299, 313-14.) Kaytor testified that
25 although Croggle treated her normally at first, after a couple of
26 months "retaliation started." (Id. at 297.) Her work hours were

1 changed (see id. at 299-300); and whereas under McCarthy she had
2 been responsible for ordering supplies for the entire engineering
3 department (see id. at 14, 16-17), when she was transferred to
4 work for Croggle that job was taken away from her (see id. at 14,
5 298-99). Under Croggle, she testified, "I sat there with no work
6 to do." (Id. at 299.) Yet, "continually on a daily basis," she
7 was "harassed by Al Croggle" who would "scream and yell" at her for
8 the "whole department" to hear. (Id. at 299, 301.) Kaytor
9 testified that "[t]here was some harassment from some coworkers"
10 (id. at 300), and she "was ostracized" (id. at 299).

11 C. The Present Litigation

12 After filing administrative charges with the Connecticut
13 Commission on Human Rights and the United States Equal Employment
14 Opportunity Commission ("EEOC"), Kaytor commenced the present
15 action in December 2006, alleging gender discrimination in
16 violation of Title VII and the Connecticut Fair Employment
17 Practices Act, Conn. Gen. Stat. § 46a-60 et seq. The original
18 complaint alleged that Kaytor had been subjected to a hostile work
19 environment based on McCarthy's ongoing and continuous sexual
20 harassment by means of his insulting and degrading remarks and
21 actions and his threats to kill Kaytor; that after complaining to
22 the Company about McCarthy, Kaytor was subjected to a pattern of
23 continuous retaliation for having complained; and that these
24 events had caused Kaytor severe emotional distress and physical
25 illness.

1 In February 2007, Kaytor filed an amended complaint
2 repeating the above allegations and adding that in January 2007,
3 i.e., shortly after the December 2006 commencement of the present
4 action, Electric Boat terminated Kaytor's employment in
5 retaliation for her filing the action. It alleged that Electric
6 Boat began its retaliation on January 17 by ordering Kaytor to
7 undergo a psychiatric examination; and although Kaytor had
8 agreed--under protest--to schedule such an examination, Electric
9 Boat terminated her employment eight days later on the ground that
10 she had not done so. Kaytor also claimed that Electric Boat's
11 ultimatum that she undergo the psychiatric examination constituted
12 an intentional infliction of emotional distress in violation of
13 state law.

14 Following a period of discovery, Electric Boat moved for
15 summary judgment dismissing the complaint, arguing principally
16 that the incidents of which Kaytor complained did not create
17 conditions so severe or pervasive as to permit an inference of a
18 hostile work environment. It also argued that its instruction
19 that Kaytor submit to a psychiatric examination was not based on
20 any goal of retaliation but rather was based on indications,
21 supported by medical evidence, that she may have been suffering
22 from paranoia (see Part II.C.1. below).

23 In a Memorandum of Decision and Order dated March 31,
24 2009, see Kaytor v. Electric Boat Corp., No. 3:06CV01953, 2009 WL
25 840669 (D. Conn. Mar. 31, 2009) ("Kaytor I"), the district court
26 granted summary judgment to Electric Boat, dismissing the

1 complaint in its entirety. The court found that Kaytor had not
2 adduced evidence sufficient to establish a prima facie case of
3 hostile work environment because she had alleged only "a few
4 incidents that spanned a number of years. She herself discounts
5 some of these incidents as not being offensive or sexual in
6 nature." Kaytor I, 2009 WL 840669, at *8. The court found that
7 the alleged incidents that were explicitly sexual "were episodic
8 over a number of years, and are not sufficiently severe to
9 overcome their lack of pervasiveness." Id. The court found that

10 McCarthy's gift of a pussy willow was not necessarily
11 sexual in nature at all, and the Court sees no
12 evidence that it was meant to be so. The letter
13 given with the pussy willow contains no sexual
14 references, and the fact that the plant's name is
15 similar to a slang term for female genitalia is not
16 sufficient to demonstrate that the gift was, in fact,
17 sexual in nature.

18 Id.

19 The court found that McCarthy's threats to choke or kill
20 Kaytor did not contribute to her hostile work environment claim.
21 Although it had noted that Kaytor maintained that "McCarthy 'at
22 least six times' from 2004 to 2005 told her that he wanted to
23 choke her, and on six more occasions, that he wanted to see her in
24 a coffin," Kaytor I, 2009 WL 840669, at *3, the court stated that

25 [t]here is a question as to how many times McCarthy
26 did this; the Plaintiff could only recall one
27 instance where he threatened to "kill" her and one
28 instance where he threatened to "choke" her. In the
29 Court's view, the Plaintiff has not offered any facts
30 from which a reasonable jury could infer that these
31 threats were made because of the Plaintiff's sex.

32 Id. at *9. It concluded that, absent those threats of violence,
33 "the conduct in question was not pervasive enough to alter the

1 plaintiff[']s working environment, nor were the alleged
2 incidents, when taken individually or viewed cumulatively, severe
3 enough to alter the plaintiff[']s working environment." Id.
4 at *9.

5 The court also dismissed the complaint insofar as it
6 asserted a claim under Connecticut law for intentional infliction
7 of emotional distress. It noted that, to establish such a claim,
8 a plaintiff must show that a defendant's actions "were atrocious
9 and utterly intolerable in a civilized community," id. at *12; and
10 it concluded that Kaytor had not met that standard, see id.

11 As to Kaytor's claims of retaliation, the court found that
12 because of the temporal proximity between her filing of the
13 present lawsuit and the Company's termination of her employment,
14 Kaytor had established a prima facie case with respect to the
15 claim that her termination was retaliatory. See id. at *10.
16 However, the court found that Electric Boat had proffered a
17 nondiscriminatory explanation for her termination, i.e., Kaytor's
18 refusal to submit to a psychiatric examination after exhibiting
19 signs that she might not be fit for duty, see id. Noting the
20 medical testimony proffered by Electric Boat, the court concluded
21 that Kaytor had not come forward with any evidence that would
22 permit a "reasonable jury in this case [to] find that the referral
23 for [a psychiatric examination], and the Plaintiff's subsequent
24 termination for refusing to go to [it], were pretext for unlawful
25 retaliation." Id. at *11.

1 In dismissing the complaint, the district court did not
2 discuss Kaytor's assertions that she had suffered retaliation in
3 the conditions of her employment before she was terminated. The
4 court stated that "the only protected activity argued" was the
5 December 2006 filing of the lawsuit and that it "consider[ed] all
6 arguments based on any other potential protected activities to be
7 abandoned." Id. at *10 n.3. In a subsequent Memorandum of
8 Decision and Order dated June 5, 2009, denying a motion by Kaytor
9 for reconsideration, see Kaytor v. Electric Boat Corp., No.
10 3:06CV01953, 2009 WL 1580989 (D. Conn. June 5, 2009)
11 ("Kaytor II"), the court stated that even if not abandoned,
12 Kaytor's claim that she suffered retaliation prior to the
13 termination of her employment was properly dismissed. It noted
14 that the transfer of Kaytor from McCarthy to Croggle was plainly
15 intended "to separate [Kaytor] from McCarthy while the
16 investigation of [her] harassment claims w[as] pending,"
17 Kaytor II, 2009 WL 1580989, at *4, and that "[d]espite [Kaytor's]
18 personal feeling that she was transferred to a less desirable
19 position, or [her] personal feeling that she was 'isolated,' she
20 has presented no evidence that a reasonable employee would hold
21 the same beliefs," id. at *4 n.5.

22 II. DISCUSSION

23 On this appeal, Kaytor contends that there are genuine
24 issues of material fact to be tried as to each of her claims.

1 Electric Boat argues that the district court correctly determined
2 as a matter of law that McCarthy's alleged statements and conduct
3 did not create an actionable hostile work environment and that
4 there was no triable issue of fact as to the claimed retaliation.

5 The EEOC has filed a brief as amicus curiae, taking no
6 position as to most of Kaytor's claims but urging that we reverse
7 the dismissal of the Title VII hostile work environment claim.
8 The EEOC argues principally that to establish such a claim, a
9 plaintiff need show only that the conditions were either pervasive
10 or severe, not both; and that, even if the sexual harassment of an
11 employee is not pervasive, the threat to kill such a person may
12 constitute the requisite severity.

13 For the reasons that follow, we conclude that summary
14 judgment was inappropriate with respect to Kaytor's claims of
15 hostile work environment and her claims of pre-termination
16 retaliation following her protest of the pussy willow bush
17 incident, and we remand for trial with respect to those claims.
18 We affirm the dismissals of Kaytor's claims of retaliatory
19 termination and intentional infliction of emotional distress.

20 A. Summary Judgment Principles

21 A motion for summary judgment may properly be granted--and
22 the grant of summary judgment may properly be affirmed--only where
23 there is no genuine issue of material fact to be tried, and the
24 facts as to which there is no such issue warrant the entry of
25 judgment for the moving party as a matter of law. See Fed. R.

1 Civ. P. 56(c)(2); see, e.g., *Jasco Tools, Inc. v. Dana Corp.*, 574
2 F.3d 129, 151 (2d Cir. 2009) ("*Jasco Tools*"). The function of
3 the district court in considering the motion for summary judgment
4 is not to resolve disputed questions of fact but only to determine
5 whether, as to any material issue, a genuine factual dispute
6 exists. See, e.g., *Anderson v. Liberty Lobby, Inc.*, 477 U.S. 242,
7 249-50 (1986) ("*Liberty Lobby*").

8 In determining whether the moving party is entitled to
9 judgment as a matter of law, see generally *id.* at 250-51 (same
10 standard governs summary judgment and judgment as a matter of law
11 during or after trial); *Jasco Tools*, 574 F.3d at 151-52 (same), or
12 whether instead there is sufficient evidence in the opposing
13 party's favor to create a genuine issue of material fact to be
14 tried, the district court may not properly consider the record in
15 piecemeal fashion, trusting innocent explanations for individual
16 strands of evidence; rather, it must "review all of the evidence
17 in the record," *Reeves v. Sanderson Plumbing Products, Inc.*, 530
18 U.S. 133, 150 (2000). This is especially so in considering claims
19 of hostile work environment, see Part II.B. below. And in
20 reviewing all of the evidence to determine whether judgment as a
21 matter of law is appropriate, "the court must draw all reasonable
22 inferences in favor of the nonmoving party," *Reeves*, 530 U.S. at
23 150 (emphasis added), "'even though contrary inferences might
24 reasonably be drawn,'" *Jasco Tools*, 574 F.3d at 152 (quoting
25 *Continental Ore Co. v. Union Carbide & Carbon Corp.*, 370 U.S. 690,
26 696 (1962)). Summary judgment is inappropriate when the

1 admissible materials in the record "'make it arguable'" that the
2 claim has merit, see, e.g., Jasco Tools, 574 F.3d at 151 (quoting
3 Quinn v. Syracuse Model Neighborhood Corp., 613 F.2d 438, 445 (2d
4 Cir. 1980)), for the court in considering such a motion "'must
5 disregard all evidence favorable to the moving party that the jury
6 is not required to believe," Jasco Tools, 574 F.3d at 152
7 (quoting, with emphasis, Reeves, 530 U.S. at 151).

8 In reviewing the evidence and the inferences that may
9 reasonably be drawn, the court "may not make credibility
10 determinations or weigh the evidence. . . . 'Credibility
11 determinations, the weighing of the evidence, and the drawing of
12 legitimate inferences from the facts are jury functions, not those
13 of a judge." Reeves, 530 U.S. at 150 (quoting Liberty Lobby, 477
14 U.S. at 255 (emphases ours)); see, e.g., Agosto v. INS, 436 U.S.
15 748, 756 (1978) ("a district court generally cannot grant summary
16 judgment based on its assessment of the credibility of the
17 evidence presented"). "Where an issue as to a material fact
18 cannot be resolved without observation of the demeanor of
19 witnesses in order to evaluate their credibility, summary judgment
20 is not appropriate." Fed. R. Civ. P. 56(e) Advisory Committee
21 Note (1963).

22 In sum, summary judgment is proper only when, with all
23 permissible inferences and credibility questions resolved in favor
24 of the party against whom judgment is sought, "there can be but
25 one reasonable conclusion as to the verdict," Liberty Lobby, 477
26 U.S. at 250, i.e., "it is quite clear what the truth is." Poller

1 v. Columbia Broadcasting System, Inc., 368 U.S. 464, 467 (1962)
2 (internal quotation marks omitted).

3 We review the district court's grant of summary judgment
4 de novo, applying the same standards that govern the district
5 court's consideration of the motion. See, e.g., Aulicino v. New
6 York City Department of Homeless Services, 580 F.3d 73, 79 (2d
7 Cir. 2009); Dillon v. Morano, 497 F.3d 247, 251 (2d Cir. 2007);
8 Cruz v. Coach Stores, Inc., 202 F.3d 560, 567 (2d Cir. 2000)
9 ("Cruz").

10 B. The Title VII Hostile Work Environment Claim

11 Title VII prohibits "discriminat[ion] against any
12 individual with respect to his [or her] compensation, terms,
13 conditions, or privileges of employment, because of," inter alia,
14 "such individual's . . . sex." 42 U.S.C. § 2000e-2(a)(1).

15 [T]his language "is not limited to 'economic' or
16 'tangible' discrimination. The phrase 'terms,
17 conditions, or privileges of employment' evinces a
18 congressional intent 'to strike at the entire
19 spectrum of disparate treatment of men and women' in
20 employment," which includes requiring people to work
21 in a discriminatorily hostile or abusive environment.

22 Harris v. Forklift Systems, Inc., 510 U.S. 17, 21 (1993) (quoting
23 Meritor Savings Bank, FSB v. Vinson, 477 U.S. 57, 64 (1986)
24 ("Meritor")).

25 Title VII "does not set forth 'a general civility code
26 for the American workplace.'" Burlington Northern & Santa Fe Ry.
27 Co. v. White, 548 U.S. 53, 68 (2006) (quoting Oncale v. Sundowner
28 Offshore Services, Inc., 523 U.S. 75, 80 (1998)). But "[w]hen the

1 workplace is permeated with 'discriminatory intimidation,
2 ridicule, and insult' . . . that is 'sufficiently severe or
3 pervasive to alter the conditions of the victim's employment and
4 create an abusive working environment,' . . . Title VII is
5 violated," Harris, 510 U.S. at 21 (quoting Meritor, 477 U.S. at
6 65, 67 (emphasis ours))--so long as there is a basis for imputing
7 the conduct that created the hostile environment to the employer,
8 see, e.g., Perry v. Ethan Allen, Inc., 115 F.3d 143, 152 (2d Cir.
9 1997) ("Perry"); Karibian v. Columbia University, 14 F.3d 773, 779
10 (2d Cir.), cert. denied, 512 U.S. 1213 (1994); Kotcher v. Rosa &
11 Sullivan Appliance Center, Inc., 957 F.2d 59, 63 (2d Cir. 1992).
12 Absent certain defenses that are not at issue on this appeal, an
13 employer is presumed to be responsible where the perpetrator of
14 the harassment was the plaintiff's supervisor. See, e.g.,
15 Burlington Industries, Inc. v. Ellerth, 524 U.S. 742, 765 (1998);
16 Faraqher v. City of Boca Raton, 524 U.S. 775, 807 (1998); Perry,
17 115 F.3d at 152-53.

18 As to "whether an environment is 'hostile' or 'abusive,'" Harris
19 Harris stated that that matter "can be determined only by looking
20 at all the circumstances." 510 U.S. at 23 (emphasis added).

21 These may include the frequency of the discriminatory
22 conduct; its severity; whether it is physically
23 threatening or humiliating, or a mere offensive
24 utterance; and whether it unreasonably interferes
25 with an employee's work performance. The effect on
26 the employee's psychological well-being is, of
27 course, relevant to determining whether the plaintiff
28 actually found the environment abusive. But while
29 psychological harm, like any other relevant factor,
30 may be taken into account, no single factor is
31 required.

1 Id. (emphases added). Because the analysis of severity and
2 pervasiveness looks to the totality of the circumstances, "the
3 crucial inquiry focuses on the nature of the workplace environment
4 as a whole," and "a plaintiff who herself experiences
5 discriminatory harassment need not be the target of other
6 instances of hostility in order for those incidents to support her
7 claim." Cruz, 202 F.3d at 570 (emphases added); see, e.g.,
8 Perry, 115 F.3d at 150-51.

9 Harris also established that both an objective and a
10 subjective standard must be met to prove the existence of a
11 hostile work environment violative of Title VII:

12 Conduct that is not severe or pervasive enough to
13 create an objectively hostile or abusive work
14 environment--an environment that a reasonable person
15 would find hostile or abusive--is beyond Title VII's
16 purview. Likewise, if the victim does not
17 subjectively perceive the environment to be abusive,
18 the conduct has not actually altered the conditions
19 of the victim's employment, and there is no Title VII
20 violation.

21 510 U.S. at 21-22.

22 Isolated incidents generally will not suffice to establish
23 a hostile work environment unless they are extraordinarily severe.
24 See, e.g., Howley v. Town of Stratford, 217 F.3d 141, 153 (2d Cir.
25 2000) ("Howley"); Quinn v. Green Tree Credit Corp., 159 F.3d 759,
26 768 (2d Cir. 1998); Torres v. Pisano, 116 F.3d 625, 631 n.4 (2d
27 Cir. 1997) ("Of course, even a single episode of harassment, if
28 severe enough, can establish a hostile work environment").
29 However, even if overtly gender-based discriminatory conduct is
30 merely episodic and not itself severe, the addition of "physically

1 threatening . . . behavior" may cause "offensive or boorish
2 conduct" to cross the line into "actionable sexual harassment."
3 Cruz, 202 F.3d at 571.

4 It is axiomatic that to prevail on a claim of hostile work
5 environment based on gender discrimination, the plaintiff must
6 establish that the abuse was based on her gender. See, e.g.,
7 Raniola v. Bratton, 243 F.3d 610, 621 (2d Cir. 2001) ("Raniola");
8 Howley, 217 F.3d at 156. The "'harassing conduct need not be
9 motivated by sexual desire," however, so long as it was motivated
10 by gender. Raniola, 243 F.3d at 617 (quoting Oncale, 523 U.S. at
11 80 (emphasis ours)); see, e.g., Howley, 217 F.3d at 156. Further,

12 [f]acially neutral incidents may be included . . .
13 among the "totality of the circumstances" that courts
14 consider in any hostile work environment claim, so
15 long as a reasonable fact-finder could conclude that
16 they were, in fact, based on sex. But this requires
17 some circumstantial or other basis for inferring that
18 incidents sex-neutral on their face were in fact
19 discriminatory.

20 Alfano v. Costello, 294 F.3d 365, 378 (2d Cir. 2002).
21 Circumstantial evidence that facially sex-neutral incidents were
22 part of a pattern of discrimination on the basis of gender may
23 consist of evidence that "the same individual" engaged in
24 "multiple acts of harassment, some overtly sexual and some not."
25 Id. at 375. In Raniola, for example, we concluded that, given
26 proof of instances of overt gender hostility by the supervisor of
27 the female plaintiff, a rational juror could permissibly infer
28 that his entire alleged pattern of harassment against her was
29 motivated by her gender, even though some of the harassment was
30 not facially sex-based. See 243 F.3d at 621-23. Thus, the

1 relevant circumstances in Raniola included not only offensive sex-
2 based remarks, but also, inter alia, one dire facially gender-
3 neutral threat of physical harm by the supervisor who had made
4 those remarks. See id. at 621. In Fitzgerald v. Henderson, 251
5 F.3d 345 (2d Cir. 2001), cert. denied, 536 U.S. 922 (2002), we
6 held that a claim of gender-based hostile work environment (as
7 well as an "embedded" claim of retaliation) may be premised on
8 evidence that a supervisor heaped abuse on the plaintiff because
9 she had rejected his sexual advances. See 251 F.3d at 361; see
10 also Howley, 217 F.3d at 156 (factfinder would be permitted to
11 conclude that even facially gender-neutral harassment of female
12 firefighter by a coworker was motivated by sex given that that
13 coworker had previously engaged in explicitly gender-related
14 harassment). So long as there is some evidentiary basis for
15 inferring that facially sex-neutral incidents were motivated by
16 the plaintiff's gender, the ultimate question of whether such
17 abuse was "because of" the plaintiff's gender, 42 U.S.C.
18 § 2000e-2(a)(1), is a question of fact for the factfinder. See,
19 e.g., Raniola, 243 F.3d at 623; Howley, 217 F.3d at 156; Cruz, 202
20 F.3d at 571.

21 In sum, the question of whether considerations of the
22 plaintiff's sex "caused the conduct at issue often requires an
23 assessment of individuals' motivations and state of mind," Brown
24 v. Henderson, 257 F.3d 246, 251 (2d Cir. 2001), and "an invidious
25 discriminatory purpose may often be inferred from the totality of
26 the relevant facts," Washington v. Davis, 426 U.S. 229, 242

1 (1976). Thus, especially in the context of a claim of sexual
2 harassment, where state of mind and intent are at issue, "the
3 court should not view the record in piecemeal fashion,"
4 Fitzgerald v. Henderson, 251 F.3d at 360, and "[s]ummary judgment
5 should be used 'sparingly,'" Distasio v. Perkin Elmer Corp., 157
6 F.3d 55, 61 (2d Cir. 1998).

7 In the present case, in light of the above substantive and
8 procedural principles, we have several difficulties with the
9 district court's summary dismissal of Kaytor's hostile work
10 environment claim. First, in assessing the evidence as to whether
11 there was an abusive environment resulting from discrimination
12 based on gender, the court indicated that it was disregarding
13 some evidence that would doubtless be admissible at a trial. For
14 example, the fact that some of the McCarthy conduct and comments
15 described by Kaytor were not directed at Kaytor or were not
16 "sexual in nature," Kaytor I, 2009 WL 840669, at *8--such as his
17 making fun of other women and discussing their bodies--does not
18 mean that that conduct and those comments were irrelevant. Even
19 if they did not evince sexual desire, a factfinder would be
20 entitled to take them into consideration in assessing the work
21 environment and in determining whether the abuse to which McCarthy
22 subjected Kaytor was motivated by her gender.

23 More importantly, the court should not have excluded from
24 consideration Kaytor's testimony as to McCarthy's stated desires
25 to choke her, to see her in a coffin, and to kill her. According
26 to Kaytor's testimony, which must be credited on a motion for

1 summary judgment against her, the threats were uttered by one who
2 had "had designs on" Kaytor and who was miffed that she would not
3 "fall for his advances" (Kaytor Dep. 176, 255). A rational juror
4 could permissibly infer that McCarthy's harsh treatment of Kaytor
5 was the result of his spurned advances.

6 A rational juror could also infer from McCarthy's overtly
7 sexual comments that the facially gender-neutral threats he
8 directed at Kaytor were, in fact, "because of" her sex. See
9 42 U.S.C. § 2000e-2(a)(1). In Alfano v. Costello, there were
10 four incidents that had overtly sexual overtones but were
11 perpetrated by someone other than the defendant; we held that
12 those incidents provided no basis for inferring that wholly
13 different facially sex-neutral incidents involving the defendant
14 were part of a campaign by the defendant to harass the plaintiff
15 on the basis of her sex. See 294 F.3d at 370, 378. Here, unlike
16 Alfano, a rational juror could permissibly infer from McCarthy's
17 sexual comments that his physical threats were also motivated by
18 Kaytor's sex.

19 Electric Boat suggests that McCarthy's threats to choke
20 Kaytor were in fact gender-neutral because "McCarthy allegedly
21 made such comments to at least one other male employee"
22 (Electric Boat brief on appeal at 49.) This suggestion provides
23 no support for a judgment in favor of Electric Boat for several
24 reasons. For one thing, the only such "alleg[ation]" we have seen
25 in the record is the EB Report's description of a statement by
26 McCarthy himself. And although McCarthy told HR that he had

1 jokingly told Cogle he would like to choke Cogle (see EB Report
2 at 10, 15), no such conversation about choking is reflected in the
3 Report's description of the HR interview with Cogle, who said
4 that when McCarthy was displeased with Cogle's work, McCarthy
5 would jokingly threaten to "fire[] him" (id. at 6).

6 In contrast, the Report notes that Linda Christie stated
7 in her HR interview that, although she had not taken it seriously,
8 McCarthy had once told her he would like to choke her (see EB
9 Report at 5). Thus, the record permits an inference that
10 McCarthy's threats to choke were directed only at women, not at
11 men. More importantly,

12 the inquiry into whether ill treatment was actually
13 sex-based discrimination cannot be short-circuited by
14 the mere fact that both men and women are
15 involved. . . . It would be exceedingly perverse if
16 a male [supervisor] could buy . . . his company
17 immunity from Title VII liability by taking care to
18 harass sexually an occasional male worker, though his
19 preferred targets were female.

20 Brown v. Henderson, 257 F.3d at 254 (internal quotation marks
21 omitted).

22 Finally, while we in no way suggest that threats of
23 physical harm could ever be justified by evaluations of an
24 employee's work performance, we note that we have seen in this
25 record no evidence that McCarthy's statements to Kaytor that he
26 would like to see her in a coffin, kill her, or choke her were
27 related to any deficiencies in her work performance. To the
28 contrary, the record suggests that McCarthy's desire to choke
29 Kaytor was distinctly not work-related, for McCarthy told Kaytor
30 he "wish[ed she] were retired" so that he "'could come to [her]

1 home and choke [her]'" (Kaytor Dep. 188 (emphases added)). In
2 sum, McCarthy's threats and statements wishing Kaytor physical
3 harm are material to her claim that she was subjected to a gender-
4 based hostile work environment.

5 Second, the district court impugned Kaytor's credibility
6 as to the frequency of McCarthy's threats and thereby
7 inappropriately assumed the role of factfinder. While noting that
8 Kaytor testified that McCarthy had threatened her repeatedly, the
9 court stated that "[t]here is a question as to how many times
10 McCarthy did this," because Kaytor "could only recall one instance
11 where he threatened to 'kill' her and one instance where he
12 threatened to 'choke' her." Kaytor I, 2009 WL 840669, at *9
13 (emphasis added). Although Kaytor at her deposition could not
14 recall dates or details of the other instances, her testimony
15 that in fact there were many such instances would be admissible at
16 trial. Electric Boat would of course be entitled to cross-examine
17 her as to details, and Kaytor's ability or inability to recall
18 details would doubtless affect the weight that would be given to
19 her testimony. But the weighing of the evidence is a matter for
20 the factfinder at trial, not for a court considering a motion for
21 summary judgment, and the district court was not entitled to
22 question the credibility of Kaytor's testimony that there were
23 many such instances. Thus, the "question" highlighted by the
24 court was not, on the motion for summary judgment, a proper
25 consideration.

1 Third, the district court, in stating that Kaytor had
2 alleged only "a few incidents that spanned a number of years," id.
3 at *8--an expansive temporal impression that Electric Boat
4 attempts to enhance by describing the period complained of as
5 2004-2006 (see Electric Boat brief on appeal at 9, 48)--did not
6 view the evidence in the light most favorable to Kaytor, either
7 with respect to the relevant period or the number of incidents.
8 As to the relevant period, Kaytor testified that the bad years
9 were 2004 and 2005 (see, e.g., Kaytor Dep. 187), and she has not
10 alleged any harassment by McCarthy--nor any gender-based (as
11 contrasted with retaliatory) harassment by anyone else at the
12 Company--since McCarthy's gift of the pussy willow bush on April
13 27, 2005. Accordingly, at its longest, the period during which
14 Kaytor complained of a gender-based hostile work environment was
15 not several years, but rather 16 months. As to the number of
16 incidents, the court was required, as indicated above, to take
17 into consideration McCarthy's physical threats to Kaytor, and it
18 had noted that McCarthy said he wanted to choke Kaytor on at least
19 six occasions, told her he would like to see her in her coffin on
20 six other occasions, and told her he wanted to kill her on three
21 or four occasions. Kaytor I, 2009 WL 840669, at *3. In addition
22 to those 15 or more incidents, Kaytor testified that McCarthy made
23 complimentary, but unwelcome, comments about the way she smelled;
24 that he approached her closely enough to smell her hair, making
25 her uncomfortable; and that she caught him leering at her body
26 "[m]any times" (Kaytor Dep. 245). And, of course there is the

1 evidence described above as to the several incidents in which
2 McCarthy made explicit or implicit references to Kaytor's "ass" or
3 genitalia and gave her the pussy willow bush. If a jury were to
4 view the evidence in the light most favorable to Kaytor, it could
5 easily conclude, permissibly, that there occurred a plethora of
6 incidents in the relevant 16-month period and that the abuse was
7 severe and/or pervasive.

8 We note that the district court pointed out that Kaytor,
9 in her deposition, stated at times that McCarthy's threats were
10 "out of character" (see Kaytor Dep. 183, 241), and the court
11 inferred that that phrase "indicat[ed] that they were not
12 pervasive," Kaytor I, 2009 WL 840669, at *9. This inference as to
13 what Kaytor meant also failed to view the record in the light most
14 favorable to Kaytor. We do not see that Kaytor was ever asked at
15 her deposition precisely what she meant when she said that
16 McCarthy was acting "out of character." Having testified that she
17 and McCarthy had an amicable, businesslike relationship during the
18 early years of their working together, i.e., 1998-2003, Kaytor
19 could well have meant that McCarthy's harassing behavior from 2004
20 through April 2005 was simply inconsistent with the way he had
21 behaved for the prior five or six years. The court's inference
22 that by "out of character" Kaytor instead meant sporadic or "not
23 pervasive" also seems unwarranted in light of her testimony that
24 one of McCarthy's threats to kill her was made "[w]hen he was
25 acting out of character, . . . and . . . was one of the many
26 harassing incidents" (Kaytor Dep. 241), and in light of her use of

1 that phrase with respect to Croggle, stating that "[f]or two years
2 [Croggle] acted out of character" (*id.* at 304 (emphasis added)).

3 Finally, the district court's analysis of the pussy willow
4 gift plainly viewed that incident in isolation. The court stated
5 that

6 McCarthy's gift of a pussy willow was not necessarily
7 sexual in nature at all, and the Court sees no
8 evidence that it was meant to be so. The letter
9 given with the pussy willow contains no sexual
10 references, and the fact that the plant's name is
11 similar to a slang term for female genitalia is not
12 sufficient to demonstrate that the gift was, in fact,
13 sexual in nature.

14 Kaytor I, 2009 WL 840669, at *8 (emphases added). Preliminarily,
15 we note that although it may well be that if there were no history
16 of sexual harassment or any gender-based comments the gift of a
17 pussy willow bush would carry no sexual implications, the matter
18 of whether the plant "necessarily" had a sexual connotation was
19 not the proper inquiry on a motion for summary judgment by the
20 defendant. More importantly, the court was required to view the
21 gift of the pussy willow bush to Kaytor in the context of the
22 other evidence in this case. That evidence, taken in the light
23 most favorable to Kaytor, showed, inter alia, that McCarthy
24 frequently made comments about women's bodies; that Kaytor many
25 times caught McCarthy leering at her and staring at her body; and
26 that McCarthy had already made two other blatant references to
27 Kaytor's genitalia: stating on one occasion that Kaytor was about
28 to spread her legs for her doctor; and on another, when Kaytor was
29 going to see her gynecologist, that she was going where every man
30 wanted to be. In light of this evidence, the district court could

1 not properly decide as a matter of law that the gift to Kaytor of
2 a pussy willow bush neither had nor was intended to have any
3 sexual connotations.

4 We conclude that the totality of the evidence, taken in
5 the light most favorable to Kaytor and without questioning her
6 credibility or drawing any adverse inference that a jury would not
7 be required to draw, was sufficient to satisfy the Harris
8 requirements that Kaytor show, both subjectively and objectively,
9 that because of her gender, she was subjected to an abusive
10 environment that altered her working conditions. Plainly Kaytor
11 subjectively viewed her working environment as abusive. She
12 repeatedly complained to her coworkers and to her union; she
13 complained to McCarthy himself. Although initially brushing off
14 his threats, Kaytor testified that the more McCarthy threatened,
15 the more nervous she became. She eventually told McCarthy that if
16 he did not stop harassing her, she would complain to higher
17 management; she was deterred from doing so because he got a
18 "horrid look on his face" and said that if she reported him he
19 would kill her. And despite being severely frightened by that
20 threat, when McCarthy gave Kaytor the pussy willow bush she
21 complained to HR. On this record we see no basis for a conclusion
22 that Kaytor did not subjectively view her environment as abusive.

23 There was also ample evidence to permit an inference that
24 Kaytor's working environment was objectively abusive, i.e., that a
25 reasonable person would find it abusive. A rational juror may
26 permissibly find that a reasonable employee would view any serious

1 death threat or threat of physical harm as sufficiently severe to
2 alter the employee's working conditions and create an abusive
3 environment. Even such threats communicated in jest, if made
4 repeatedly, may reasonably be viewed as sufficiently severe. In
5 this case, several of Kaytor's coworkers who heard McCarthy's
6 statements that he wanted to choke Kaytor advised her that she
7 should be concerned. Indeed, Kaytor testified that her doctor
8 told her she should be concerned. (See Kaytor Dep. 188.)
9 Further, a rational juror could permissibly find that a reasonable
10 employee would have viewed McCarthy's sexual comments and
11 actions--including his frequent leering at Kaytor's body and his
12 calling attention to her private parts by, inter alia, "yell[ing]
13 out at the top of his lungs" for everyone to hear that Kaytor had
14 a "flat ass" (id. at 180), "yell[ing]," when Kaytor was heading
15 for the gynecologist, that she was "going where every man wants to
16 be" (id. at 207), and finally giving Kaytor a pussy willow bush,
17 which was the talk of the entire facility for days (see id. at
18 280-81; EB Report at 7)--as creating an environment that was
19 abusive, humiliating, and materially worsening Kaytor's working
20 conditions.

21 In sum, we conclude that the evidence of record, when
22 properly viewed within the correct legal framework, was sufficient
23 to require the denial of Electric Boat's motion for summary
24 judgment on the hostile work environment claim.

1 C. The Title VII Claims of Retaliation

2 Title VII also makes it unlawful for an employer to
3 discriminate against an employee "because he [or she] has opposed
4 any practice made an unlawful employment practice by this
5 subchapter, or because he [or she] has made a charge . . . in an
6 investigation, proceeding, or hearing under this subchapter." 42
7 U.S.C. § 2000e-3(a). In order to establish a prima facie case of
8 retaliation, Kaytor must show (1) that she participated in an
9 activity protected by Title VII, (2) that her participation was
10 known to her employer, (3) that her employer thereafter subjected
11 her to a materially adverse employment action, and (4) that there
12 was a causal connection between the protected activity and the
13 adverse employment action. See, e.g., Patane v. Clark, 508 F.3d
14 106, 115 (2d Cir. 2007); Kessler v. Westchester County Department
15 of Social Services, 461 F.3d 199, 205-06 (2d Cir. 2006). Close
16 temporal proximity between the plaintiff's protected action and
17 the employer's adverse employment action may in itself be
18 sufficient to establish the requisite causal connection between a
19 protected activity and retaliatory action. See, e.g., Clark
20 County School District v. Breeden, 532 U.S. 268, 273 (2001); Cifra
21 v. General Electric Co., 252 F.3d 205, 217 (2d Cir. 2001).

22 In adjudicating retaliation claims, courts follow the
23 familiar burden-shifting approach of McDonnell Douglas Corp. v.
24 Green, 411 U.S. 792, 802-05 (1973). See, e.g., Jute v. Hamilton
25 Sundstrand Corp., 420 F.3d 166, 173 (2d Cir. 2005). At the
26 summary judgment stage, if the plaintiff presents at least a

1 minimal amount of evidence to support the elements of the claim,
2 the burden of production shifts to the defendant to proffer a
3 legitimate non-retaliatory reason for the adverse employment
4 action. See id. If the employer produces such evidence, the
5 employee must, in order to avoid summary judgment, point to
6 evidence sufficient to permit an inference that the employer's
7 proffered non-retaliatory reason is pretextual and that
8 retaliation was a "substantial reason for the adverse employment
9 action." Id.

10 Applying these principles, we reach different conclusions,
11 for the reasons below, as to the viability of Kaytor's claims that
12 she was subjected to retaliation (1) in the termination of her
13 employment and (2) in her pre-termination treatment.

14 1. The Claim of Retaliatory Termination

15 The principal retaliation claimed by Kaytor was Electric
16 Boat's termination of her employment a short time after she filed
17 the present action. As the district court noted, Kaytor presented
18 a prima facie case with respect to this claim. The court
19 concluded, however, as described below, that Electric Boat
20 presented evidence of a non-retaliatory reason for terminating
21 Kaytor's employment, namely that, with good reason, the Company
22 instructed Kaytor to have a psychiatric examination and warned
23 that she would be discharged if she refused; that Kaytor refused;
24 and that the Company fired her for insubordination.

1 As described in Kaytor I, 2009 WL 840669, at *5-*6,
2 *10-*11, Electric Boat presented evidence that it maintained an
3 on-site medical facility at which it employed nurses, physician
4 assistants, and physicians, including Robert Hurley, M.D., a
5 board-certified physician who supervised the facility. One of Dr.
6 Hurley's principal responsibilities was to make determinations as
7 to employees' fitness to perform the functions of their jobs. Dr.
8 Hurley had training in interviewing, evaluating, and treating
9 common psychiatric disorders, and he often sought outside opinions
10 from specialists as part of his fitness-for-duty determinations.
11 (See Declaration of Dr. Robert Hurley dated March 26, 2008
12 ("Hurley Decl."), ¶¶ 4, 8, 10.)

13 On January 4, 2007, two days after Kaytor returned to work
14 from a medical leave of absence, some of the Electric Boat plant
15 facilities had to be evacuated because of an explosion in one of
16 the laboratories, and employees took refuge in the Company's
17 cafeteria. In the cafeteria, Kaytor experienced dizziness, and
18 she went to the medical facility. While there, she spoke at some
19 length with Dr. Hurley. During that conversation, Kaytor
20 expressed her views, inter alia, that there might be a hidden
21 camera in Dr. Hurley's office; that Electric Boat was spying on
22 her at work and in her home; that a therapeutic counselor she had
23 been consulting was conspiring with Electric Boat to spy on her;
24 and that Dr. Hurley was a part of the conspiracy against her.
25 (See Kaytor Dep. 334; Hurley Decl. ¶ 14.)

1 This January 4, 2007 meeting with Kaytor caused Dr. Hurley
2 to question her fitness for duty. (See Hurley Decl. ¶ 23.) He
3 also received from Kaytor a January 4, 2007 email in which she
4 stated, inter alia, that she had had to "release" all of her
5 doctors because they had been "targeted" by Dr. Hurley and
6 Electric Boat's legal department. (Hurley Decl. ¶ 17 &
7 Exhibit 2.) And on the following day, Kaytor sent him another
8 email stating, inter alia, that Electric Boat was intentionally
9 trying to make her sick. (See Hurley Decl. ¶ 20 & Exhibit 5.) In
10 addition, Dr. Hurley had previously received communications from
11 Kaytor that made him question her fitness for duty. These
12 included a September 2006 letter in which Kaytor complained that
13 Electric Boat was sending her to doctors who, in giving "skin
14 sensation test[s]," punctured her thighs and "le[ft] medical
15 equipment in [her] legs." (Hurley Decl. ¶ 16 & Exhibit 1.)

16 Dr. Hurley, in light of these experiences, determined that
17 Kaytor should have an examination as to her psychiatric fitness
18 for duty. Electric Boat, to show that Dr. Hurley's concerns about
19 Kaytor's mental state were reasonable, also presented deposition
20 testimony from two professionals who were not employees of the
21 Company--Kaytor's personal primary care physician and a licensed
22 counselor who had treated Kaytor--who stated that they viewed
23 Kaytor as having paranoid ideation.

24 On or about January 8, 2007, Dr. Hurley scheduled Kaytor
25 for a January 11, 2007 independent medical examination with Dr.
26 Jay Lasser, a psychiatrist. However, Kaytor had a conflicting

1 appointment and could not see Dr. Lasser on January 11. On
2 January 17, 2007, when Kaytor had not rescheduled, the Company
3 sent her a letter instructing her to reschedule by January 24,
4 2007. The letter stated that "Dr. Hurley will be unable to make a
5 determination on your return to work without further information
6 from Dr. Lasser," and that if Kaytor did not reschedule, her
7 refusal would be considered an act of insubordination and her
8 employment would immediately be terminated. (Letter from Linda G.
9 Gastiger, Manager of Labor Relations, Electric Boat, to Sharon
10 Kaytor, dated January 17, 2007.)

11 Kaytor refused to schedule an appointment with Dr. Lasser.
12 She testified that although she received and understood this
13 letter, she did not believe the Company would terminate her
14 employment. (See Kaytor Dep. 343-44.) In accordance with its
15 warning, however, and in light of Kaytor's failure to schedule an
16 appointment with Dr. Lasser, the Company sent Kaytor a letter on
17 January 25, 2007, informing her that her employment was terminated
18 on account of her insubordination. (See Letter from Linda G.
19 Gastiger, Manager of Labor Relations, Electric Boat, to Sharon
20 Kaytor, dated January 25, 2007.)

21 The district court found Electric Boat's proffer ample to
22 show a non-retaliatory reason--Kaytor's repeated exhibition of
23 signs of paranoia--for ordering the independent psychiatric
24 examination and for terminating her employment because of her
25 refusal to do so, and we agree. The court also concluded that
26 Kaytor presented no evidence from which a rational juror could

1 find that that proffered reason was pretext for retaliation.
2 Kaytor has not pointed us to evidence in the record sufficient to
3 warrant overturning that conclusion. Accordingly, we affirm the
4 dismissal of Kaytor's claim that the termination of her employment
5 violated Title VII for the reasons stated by the district court in
6 Kaytor I, 2009 WL 840669, at *5-*6, *10-*11.

7 2. The Claim of Pre-Termination Retaliation

8 Kaytor also claims that she was subjected to retaliation
9 prior to her termination, arguing, inter alia, that because she
10 complained to HR about McCarthy's abuses, she was in effect
11 demoted by being reassigned to work for a person who reported to
12 McCarthy, was placed in an office containing health hazards, was
13 repeatedly summoned by HR to meetings that she considered
14 superfluous, was given no work to do, was constantly yelled at by
15 her new supervisor, and was ostracized. We conclude that Kaytor's
16 deposition testimony was sufficient evidence to defeat the summary
17 judgment dismissal of this claim.

18 Preliminarily, we note that Electric Boat contends that
19 Kaytor has abandoned any contention "that her transfer to a
20 different supervisor after her complaints about McCarthy[]
21 constituted unlawful retaliation," because she "does not raise
22 this argument in her main brief" on appeal and had not raised it
23 in the district court until she moved for reconsideration
24 following the district court's grant of summary judgment.
25 (Electric Boat brief on appeal at 62 n.7.) The district court,

1 before rejecting this claim on its merits in Kaytor II, stated in
2 Kaytor I that Kaytor had abandoned any claim of pre-termination
3 retaliation, see Part I.C. above. We note, however, that in
4 responding to Electric Boat's motion for summary judgment, Kaytor
5 had argued, inter alia, that

6 she was subjected to adverse employment actions after
7 she reported sexual harassment by her supervisor
8 which amounted to a hostile work environment. She
9 had been the administrative assistant to the Manager
10 of a work unit . . . , but when she complained about
11 his use of obscenities and sexual comments, she was
12 transferred to work under Al Cogle, who supervised
13 one of six work groups reporting to McCarthy.

14 (Kaytor's Memorandum of Law in Opposition to Defendant Motion for
15 Summary Judgment at 2.) She referred to the Company's order that
16 she undergo a psychiatric examination as "further retaliation"
17 (id. (emphasis added)) and argued that she had been "punished for
18 raising the issue of a hostile work environment" (id. at 7). And
19 in the retaliation section of her main brief on this appeal, she
20 refers not only to having been "forc[ed] . . . to submit to a
21 psychiatric exam," but also to, inter alia, being "transferr[ed]
22 . . . to a less prestigious work assignment," and having "her job
23 responsibilities" "take[n] away." (Kaytor brief on appeal at 21.)
24 Although the arguments as to pre-termination retaliation are
25 sketchy, we are unpersuaded that the claim was abandoned.

26 Turning to the merits, we note that a lateral job transfer
27 that does not affect an employee's salary or title may be the
28 basis for a Title VII retaliation claim only if the reassignment
29 would have been viewed by a reasonable employee as being
30 materially adverse. See, e.g., Burlington Northern & Santa Fe Ry.

1 Co. v. White, 548 U.S. at 68. The test is an objective one; an
2 employment action is materially adverse if it "well might have
3 dissuaded a reasonable worker from making or supporting a charge
4 of discrimination." Id. (internal quotation omitted); see, e.g.,
5 Kessler v. Westchester County Department of Social Services, 461
6 F.3d at 209.

7 Plainly the reassignment of Kaytor to Croggle was
8 occasioned by her complaint to HR about McCarthy. The HR
9 investigation was commenced on May 15, and Kaytor was reassigned
10 on May 16. And there is circumstantial evidence from which a
11 rational factfinder could infer that the Company itself viewed
12 Kaytor's new position as a demotion, given that, on the day after
13 it reassigned her to Croggle, it asked Kaytor if she wanted to
14 return to her old position. But the Company notes that Kaytor's
15 compensation was unchanged, and it plausibly argues that it was
16 merely reasonably separating Kaytor from a manager she claimed was
17 harassing her. The district court found this dispositive of the
18 merits of this claim in Kaytor II.

19 Yet the separation of Kaytor from McCarthy does not
20 account for the ensuing treatment of Kaytor or resolve the
21 question of whether other conditions of her employment were so
22 adversely affected as to dissuade complaints of discrimination.
23 According to her deposition testimony, which must be credited on a
24 motion for summary judgment, Kaytor, after being reassigned, was
25 stripped of her former prestigious responsibility of ordering
26 supplies for the entire engineering department (see Kaytor Dep.

1 14, 16-17), was given "no work to do" (id. at 299), was screamed
2 at by Croggle "on a daily basis" for "th[e] whole department" to
3 hear (id. at 299, 301), and "was ostracized" (id. at 299).

4 A jury, of course, need not credit Kaytor's testimony or
5 view the evidence in the light most favorable to her. But given
6 the summary judgment standards, we conclude that there are genuine
7 issues of fact to be tried as to whether the Company's treatment
8 of Kaytor, following her complaints about McCarthy and prior to
9 the termination of her employment, "well might have dissuaded a
10 reasonable worker from making" those complaints.

11 D. The State-Law Claims

12 Kaytor asserted her claims of gender discrimination and
13 retaliation not only under Title VII but also under the
14 Connecticut Fair Employment Practices Act, Conn. Gen. Stat.
15 § 46a-60 et seq. ("CFEPA"). CFEPA prohibits employers from, inter
16 alia, discriminating against an employee "because of the
17 individual's . . . sex," Conn. Gen Stat. § 46a-60(a)(1), or
18 "because such person has opposed any discriminatory employment
19 practice," id. § 46a-60(a)(4). The analysis of discrimination and
20 retaliation claims under CFEPA is the same as under Title VII.
21 See, e.g., Craine v. Trinity College, 259 Conn. 625, 637 n.6, 791
22 A.2d 518, 531 n.6 (2002). Accordingly, for the reasons stated
23 above with respect to Kaytor's claims under Title VII, we affirm
24 the district court's dismissal of Kaytor's claim of retaliatory
25 termination in violation of CFEPA; but as to her CFEPA claims of

1 hostile work environment and pre-termination retaliation, we
2 vacate the dismissal and remand for further proceedings.

3 We affirm the district court's dismissal of Kaytor's claim
4 of intentional infliction of emotional distress substantially for
5 the reasons stated by the district court in Kaytor I, see 2009 WL
6 840669, at *11-*12.

7 CONCLUSION

8 We have considered all of the parties' arguments in
9 support of their respective positions on this appeal and, except
10 as indicated above, have found them to be without merit. The
11 judgment of the district court is affirmed insofar as it dismissed
12 Kaytor's claims of retaliatory termination and intentional
13 infliction of emotional distress. The judgment is vacated insofar
14 as it dismissed her claims of hostile work environment and pre-
15 termination retaliation, and the matter is remanded for further
16 proceedings not inconsistent with this opinion.

17 Costs to plaintiff.